Rollover to a super fund or Self Managed Super Fund

Asgard

Super/Pension account

Use this form to rollover the entire balance of your Super or Pension account (all Products) to an external super fund or Self Managed Super Fund (SMSF). This will result in your account being closed.

Complete this form in **BLOCK LETTERS** by typing directly into the form or using **black pen**, print and sign it.

Send the completed form to us via one of the following methods:

> ask your adviser to lodge it on your behalf on AdviserNET

> submit a copy via our secure Document Upload facility on Investor *Online* or AdviserNET (accessed from Forms > Document Upload Menu)

> post it to Asgard, PO Box 7490, Cloisters Square, WA 6850

> email a copy to asgardforms@asgard.com.au.

If you submit this form online, you don't need to post us the original. However, if you're emailing and supporting documents are required, you'll also need to post us the original signed copy of this form along with original supporting documents. We can then finalise your payment.

Questions? Call our Customer Relations team on **1800 998 185** Monday to Friday, between 8.30am and 7.00pm, Sydney time (8.00pm during daylight savings time) or email **client.support@asgard.com.au**

Important Information

> Before closing your Superannuation or Pension account, you should contact your adviser and refer to the current disclosure document to consider all implications and options available.

> Generally, once all our requirements are received, your request will be finalised and payment made within approximately 20 working days.

> All your investments must be sold in order to process the rollover and close your account. If your investments are not sold, we will sell these for you/on your behalf.

> If your account holds a suspended/frozen asset we will automatically include you in any future redemption windows.

> If you withdraw your entire superannuation benefit and close your account you will lose any insurance you currently have. Before closing your account you should speak to your financial adviser about the impacts this could have on your insurance entitlements.

> If you have made personal contributions in the current or previous financial year, and intend to claim a tax deduction, or vary an earlier valid notice, please read the important information below.

- To avoid delays to your payment, you should submit your intent to claim or vary an earlier notice **before** submitting this form. Your adviser can submit this on AdviserNET, or you can complete a 'Notice of intent to claim or vary a deduction for personal super contributions' form available on Investor *Online* or by calling our Customer Relations team.
- If you have already lodged a valid notice and do not wish to vary it, you do not need to complete section 3.
- Once you close your account and make a full withdrawal, as the Trustee no longer holds any of your personal contributions you will not be able to claim or vary the contributions.

> If you wish to withdraw part of your account balance or withdraw funds as a lump sum, please contact your financial adviser or our Customer Relations team for the correct form.

> If you have changed your name, please complete the Change Account Name form available from your financial adviser or our Customer Relations team, and return with this form.

> This information is intended as a guide only and does not constitute advice.

Privacy Statement and Consent Request

Privacy Statement

All personal information and credit-related information we collect about you is collected, used and disclosed by us in accordance with our Privacy Statement which is available at asgard.com.au/privacy or by calling us. Our Privacy Statement also provides information about how you can access and correct your personal information, and make a complaint. You do not have to provide us with any personal information or credit information but, if you don't, we may not be able to process your request.

Your Consent

If we require you to provide identification documents (which only applies if you request your funds to be rolled over to a Self Managed Super Fund (SMSF)), by signing below, you consent to us collecting and holding any sensitive information such as health information or information about your racial or ethnic origin which appears on copies of your identity documents. You will not be able to withdraw your consent to Westpac holding this information after it has been provided because Westpac is required to retain copies of identification documents under the Anti-Money Laundering and Counter Terrorism Financing Act 2006 (Cth).

1. Account details — mandatory section

Account number

Date of birth

Title

Surname

Given name(s)

Postal address

State

Postcode

Mobile

Email

☐ This is my new address and contact details, can you please update my account details for all future correspondence.

Tax file number (TFN)

You are not obliged to provide us with your Tax File Number (TFN). However, providing us with your TFN gives you advantages which may not apply if you choose to withhold your TFN, as detailed in Section 11 of our Privacy Statement. Please contact the Australian Taxation Office for more information about your TFN and its use.

Any details you do provide us (including your TFN) that are personal information will be collected, used and disclosed in accordance with our Privacy Statement (in particular please see Section 11 as regards TFNs) which is available at asgard.com.au/privacy or by calling us.

Note: TFN is required for rollovers to a SMSF.

2. Payment details — Mandatory

☐ Option 1: **Rollover to an external superannuation fund**

Rollover details

Fund name

Fund phone number

Fund Australian Business Number (ABN)

Membership or account number

Unique Superannuation identifier (USI)

➡ ***Proceed to section 3***

OR

☐ Option 2: **Rollover to a SMSF**

Note: by selecting this option you are confirming that:

- **you are a member of the SMSF**
- **the SMSF is a regulated superannuation fund and**
- **you consent to us collecting and holding any sensitive information which may appear on copies of your identification documents.**

ℹ If you are rolling to a SMSF, please attach a certified copy of your identification eg driver's licence issued under state or territory law, passport issued by the Commonwealth that has not expired within the past two years. For the full list of acceptable documents, please refer to the following link: https://www.bt.com.au/content/dam/public/panorama/pdf/BT_Panorama_-_How_to_certify_documents_flyer.PDF

ℹ For security purposes, we will require a recent (issued within the 3 months) certified copy of an original bank statement for the SMSF bank account, showing the SMSF account name, BSB and account number.

SMSF details

SMSF name

Electronic Service Address (ESA) Alias – mandatory

SMSF contact number

SMSF Australian Business Number (ABN)

SMSF bank account details

Financial Institution name

Branch

SMSF bank account name

BSB number

–

Bank account number

➡ ***Proceed to section 3***

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3. Personal tax deduction notice

ℹ If you have made personal contributions and you tick (✓) No or do not complete this section, **you confirm you have already claimed or do not intend to make a tax deduction notice** for your personal contributions.

ℹ We will change any personal deducted contributions that you have not submitted a notice for to personal undeducted contributions and you will not be able to claim a tax deduction on these funds after the withdrawal is processed.

Please indicate by ticking (✓) if you intend to vary an earlier notice, or claim a tax deduction for personal contributions made in the current or previous financial year.

☐ No, I do not intend to claim or vary a deduction ➡ ***Proceed to section 4***

OR

☐ Yes, I confirm I have made personal contribution(s) and I wish to claim or vary a tax deduction.

How will you submit your notice?

☐ My 'Notice of intent to claim or vary a deduction' form has not been provided previously and is attached to this withdrawal request.

OR

☐ My adviser will submit my request via AdviserNET prior to you receiving this withdrawal request.

4. Declaration and consent — Mandatory

By signing this request form I am making the following statements:

- I declare I have fully read this form and the information completed is true and correct
- I am aware I may ask my superannuation provider for information about any fees or charges that may apply, or any other information about the effect this transfer may have on my benefits, and have obtained or do not require such information
- I discharge the Trustee of all further liability in respect of the benefits transferred to the fund identified in section 2 of this form
- I request and consent to the transfer of superannuation as described above and authorise the superannuation provider of each fund to give effect to this transfer
- for rollovers to a SMSF, I confirm that
  - I am a member, trustee or director of a corporate trustee of the SMSF
  - the SMSF I am rolling over to is a regulated superannuation fund
  - I agree to the consents in the Privacy Statement and Consent Request section
- I have attached an original or certified copy of a power of attorney document or guardianship if required
- I confirm that I have read and agree to the information stated in the 'Important information section' of this form.

Note: Signatures must be in ink, we cannot accept digital signatures.

If signing under a power of attorney, I verify that at the time of signing, I have not received notice of revocation of that power.

Signature of: ☐ Member ☐ Power of attorney

Date

Name (only required if other than the account holder has signed above)