

Wholesale Client - Declaration

i Use this form to:

Provide a declaration that you qualify as a Wholesale Client under the Corporations Act 2001.

You can type directly into the form or print then complete in block letters. Each applicable section of the form must be completed for this request to be processed.

Important information

As a wholesale client you may be able to access investment options not available to retail clients through Panorama Investments including unregistered managed funds. You may also be exempt from providing your consent for advice fees to be deducted from your account.

By completing this declaration, you will confirm that you qualify as a wholesale client. This declaration is valid for 2 years from the client signed date. Once expired, a new declaration must be submitted.

Privacy Statement

All personal information and credit-related information we collect about you is collected, used and disclosed by us in accordance with our Privacy Statement which is available at www.bt.com.au/personal/help/privacy/privacy-statement or by calling us. Our Privacy Statement also provides information about how you can access and correct your personal information, and make a complaint. You do not have to provide us with any personal information or credit information but, if you don't, we may not be able to process your request.

1 Adviser details

Adviser name

2 Your account details

Account name

Account number

3 Declaration Type

One of the following options must be selected in order to qualify as a Wholesale Client:

Professional investor - Please complete section 4.

Business purpose - Please complete section 5.

4 Professional investor declaration (if applicable)

Note: This section applies only to professional investor (regulated entity). Professional investor is an alternative to an accountant certified investor.

The client declares to BT Portfolio Services Ltd ABN 73 095 055 208 AFSL 233715, Westpac Banking Corporation ABN 33 007 457 141 AFSL 233714 (Westpac) and each Associate of Westpac that the client satisfies one or more of the following criteria to qualify as a professional investor for the purposes of sections 9 and 761G(7)(d) of the Corporations Act 2001 (Corporations Act).

Select at least one of the options below

The client has or controls gross assets of at least AUD\$10 million (including any assets held by an associate or under a trust that the client manages).

The client is a financial services licensee.

The client is a body regulated by APRA, other than a trustee of a regulated superannuation fund, an approved deposit fund, a pooled superannuation trust, or a public sector superannuation scheme within the meaning of the *Superannuation Industry (Supervision) Act 1993*.

The client is a body registered under the Financial Corporations Act 1974.

The client is a trustee of a superannuation fund, an approved deposit fund, a pooled superannuation trust, or a public sector superannuation scheme within the meaning of the *Superannuation Industry (Supervision) Act 1993* and the fund, trust or scheme has net assets of at least AUD\$10 million.

The client is a listed entity, or a related body corporate of a listed entity purpose.

The client is an exempt public authority.

The client is a body corporate or unincorporated body which carries on the business of investing in financial products, interests in land or other investments, and which invests funds received directly or indirectly following offers or invitations to the public, the terms of which provided for the funds subscribed to invested accordingly.

The client is a foreign entity that, if established or incorporated in Australia, would be covered by one of the above paragraphs.

1 "Associate" has the same meaning in the Corporations Act.

2 "Related body corporate" has the same meaning in the Corporations Act.



Wholesale Client - Declaration

5 Business purpose declaration (if applicable)

Note: This section applies only to financial products/services for use in connection with the client’s business. It does not apply to professional investors.

The client declares to BT Portfolio Services Ltd ABN 73 095 055 208 AFSL 233715, Westpac Banking Corporation ABN 33 007 457 141 AFSL 233714 (Westpac) and each Associate of Westpac that the client satisfies one or more of the following criteria that the financial product/service is provided for use in connection with the client’s business for the purposes of 761G(7)(b) of the Corporations Act.

Select one of the two options below

The business manufactures goods and employs minimum of 100 people.

The business does not manufacture goods and employs a minimum of 20 people.

6 Authorisation & acknowledgements

The client acknowledges that any financial product or service provided by BT Portfolio Services Ltd, Westpac or any Associate of Westpac in reliance on this form will be provided to the client as a wholesale client.

By signing this form, you agree that:

- all information provided in the form is true and correct,
- your adviser may exempt you from providing consent when arranging for the deduction of an advice fee from your account, and
- your investment is subject to the terms and conditions in the “BT Panorama Investments – Investor Guide” or the “Asset Administrator Investments – Investor Guide” as applicable, and the “Panorama Investments - Additional Information and Terms Booklet”, which are updated from time to time.

Signature of authorised persons

Adviser signature

Full name

Signature (Digital signature accepted)

Date (dd/mm/yyyy)

Client signature

For company signatories, 2 directors or a director and a company secretary must sign, unless the company has a sole director who is also the sole secretary. Incorporated association signatories must specify their position in the ‘Other’ field.

For Trust/SMSF with corporate trustees, either 2 individual trustees or 2 directors of the corporate trustee (or 1, if only a sole director exists) should sign, as applicable.

Primary signature

Full name

Signature (Digital signature accepted)

Date (dd/mm/yyyy)

- | | | |
|----------------|---------------------------|-----------------------|
| Account holder | Trustee | Director |
| Secretary | Sole director & Secretary | Other (specify below) |

Secondary signature

(for accounts requiring more than one signature)

Full name

Signature (Digital signature accepted)

Date (dd/mm/yyyy)

- | | | |
|----------------|---------------------------|-----------------------|
| Account holder | Trustee | Director |
| Secretary | Sole director & Secretary | Other (specify below) |

You can submit this form online



Sign in to your account to upload and submit this form

Advisers: Service requests > Submit new request > Account maintenance

Investors: Forms & requests > Submit new request > Account maintenance

If you are unable to upload this form, you can submit it by:

email: professional@panorama.com.au



DEC|X|BTF34077