



Client Relations contact details
Phone: Aus: 02 8456 0230 | New Zealand: 0800 894 131
Email enquiries:
btfunds@unitregistry.com.au

Initial Application | Individual BT Investment Funds BT Classic Investment Funds

WHO SHOULD COMPLETE THIS FORM?

This application form is for individuals or sole traders, investing on their own behalf.

HOW TO COMPLETE THIS FORM

Step 1 Before submitting this form, please read the Product Disclosure Statement (PDS) for the BT Investment Funds and/or the BT Classic Investment Funds available at bt.com.au/personal/help/pds or if you are unable to access the link or print the document, contact us on (02) 8456 0230 within Australia or 0800 894 131 in New Zealand.

NOTE: BT Classic Investment Funds are closed to new investors. Only investors who hold units in one of the BT Classic Investment Funds and are transferring their unit holding are able to complete this form.

New Zealand investors are unable to make applications to the BT Investment Funds or the BT Classic Investment Funds.

Units in a fund will only be issued following acceptance of an application form issued with the relevant PDS, with all required documents attached.

Manual applications: Please print, use black pen and write in BLOCK letters. If you make an error do not use correction fluid, instead, cross out your error and initial your changes.

Note: Please ensure all fields are completed including those in **sections A, B and C.**

Step 2 **Tell us your foreign tax status**
Please complete the **Global Tax Reporting (FATCA/CRS)** information in **section 10.**

Step 3 **Sign and send your documents to the below address.**
Please ensure you sign **section 12** of the form in accordance with the instructions provided.
You can return your forms by post to:
BT Funds
GPO Box 804
Melbourne VIC 3001

Step 4 **Make your payment**
Please refer to **section 4** of the application form and follow the instructions on how to pay the application amount.
Your application cannot be processed until all relevant identification documents and cleared funds are received.

IMPORTANT INFORMATION

- Units in the BT Investment Funds and/or the BT Classic Investment Funds product will only be issued on receipt of this application form, issued together with the current BT Investment Funds and/or the current BT Classic Investment Funds Product Disclosure Statement (PDS).
- You must have read the PDS before completing this application form.
- All words and phrases used in this application form have the same meaning, if any, given to them in the PDS.
- Please ensure all relevant fields are completed. If you do not complete all relevant sections your application may be rejected and returned to you for completion, resulting in a delay in processing your application.
- If you have any questions as you complete this form, please refer to the FAQs of this form, or contact us on (02) 8456 0230 within Australia or 0800 894 131 in New Zealand.

The Responsible Entities for the Funds offered through BT Investment Funds and BT Classic Investment Funds are BT Funds Management No. 2 Limited ABN 22 000 727 659, AFSL 233720 and Westpac Financial Services Limited ABN 20 000 241 127, AFSL 233716. The information collected on this form is governed by the BT Privacy Policy which is available on bt.com.au.

Section A: DDO Obligations

The following questions may assist BT Funds Management No2 Limited and/or Westpac Financial Services Limited in meeting its regulatory obligations by determining whether this financial product is being offered to the stated target market.

The below only needs to be answered where you are a direct [retail] investor (i.e. does not apply to indirect or intermediated investments such as those made by platforms, custodians, etc).

Was this investment made based on personal advice received from your financial adviser?

Yes - please ensure that details of your financial adviser are completed in section 8

No - please complete the questions below:

A. What is your primary investment objective in relation to this investment? (select only one option)

Capital growth (you seek to invest in a product designed or expected to generate capital return over the investment timeframe. You prefer exposure to growth assets (such as shares or property) or otherwise seeks an investment return above the current inflation rate).

Capital preservation (you seek to invest in a product designed or expected to have low volatility and minimise capital loss. You prefer exposure to defensive assets that are generally lower in risk and less volatile than growth investments (this may include cash or fixed income securities).

Income distribution (you seek to invest in a product designed or expected to distribute regular and/or tax-effective income. You prefer exposure to income-generated assets (this may include high dividend-yielding equities, fixed income securities and money market instruments)).

B. What is your investment timeframe in relation to this investment? (select only one option)

Up to and including 2 years (i.e. Short term)

More than 2 years but less than 5 years (i.e. Medium term)

Equal to 5 years but less than 7 years (i.e. Medium to long term)

Equal to 7 years or more (i.e. Long term)

C. Under normal circumstances, within what period do you expect to be able to access your funds for this investment? (select only one option)

Within one week

Within one month

Within three months

Within one year

More than one year

D. In relation to this investment, which investment risk and return profile best describes you? (select only one option)

Low risk and return: You are looking for an investment that is low risk in nature (e.g. you have the ability to tolerate up to 1 negative return over a 20-year period and you are comfortable with a low target return from this investment).

Medium risk and return: You are looking for an investment that is moderate or medium risk in nature (e.g. you have the ability to tolerate up to 4 negative returns over a 20-year period and you are comfortable with a moderate target return from this investment).

High risk and return: You are looking for an investment that is higher risk in nature (e.g. you have the ability to tolerate up to 6 negative returns over a 20-year period in order to achieve a higher target return from this investment).

Very high risk and return: You are looking for an investment that is very high risk in nature (e.g. you have the ability to tolerate 6 or more negative returns over a 20-year period as you are seeking to maximise returns and you can accept higher potential losses).

Extremely high risk and return: You are looking for an investment that is extremely high risk in nature (e.g. you have the ability to accept significant volatility and losses as you are seeking to obtain accelerated returns (potentially in a short timeframe)).

E. What percentage of your total investable assets are you directing into this fund (i.e. the total assets you have available for investment, excluding your residential home)? (select only one option for each Fund you'd like to invest in below)

Fund	APIR	Intended product use (% of investable assets)
BT Investment Funds		
BT Future Goals Fund	BTA0044AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT International Fund	BTA0024AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT American Share Fund	BTA0023AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT Asian Share Fund	BTA0026AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT European Share Fund	BTA0025AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT Technology Fund	BTA0127AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)

BT Investment Funds		
BT Property Securities Fund	BTA0051AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT Cash Management Trust	BTA0002AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT Wellington Global Opportunistic Value Fund	WFS0092AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT Australian Share Fund	BTA0021AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT Smaller Companies Fund	RFA0012AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT Imputation Fund	RFA0019AU	<input type="checkbox"/> Solution/Standalone (up to 100%) <input type="checkbox"/> Major allocation (up to 75%) <input type="checkbox"/> Core component (up to 50%) <input type="checkbox"/> Minor allocation (up to 25%) <input type="checkbox"/> Satellite allocation (up to 10%)
BT Classic Investment Funds		
Please note: You do not need to complete Section A if you are investing into one of the BT Classic Investment Funds		

Note: Acceptance of your application should not be taken as a representation or confirmation that an investment in the fund is, or is likely to be, consistent with your intentions, objectives and needs as indicated in your responses to these questions.

Section B: Investor details

What is the full legal name of the individual or sole trader that will hold title of the units?

Full name of account designation

If you are an existing investor, please provide your account number

I/We confirm there are no changes to our identification documents previously provided and that these remain current and valid.

I/We confirm there are no changes to the information in our previous application provided and that it remains current and valid.

Section C: Are you investing using funds borrowed under a margin loan?

No - go to **section 1** Yes - please complete the details below

Name of margin lender

Name of borrower

Borrower's TFN

Loan number

If the person who will hold legal title to the units will be the borrower granting Power of Attorney to the margin lender or its nominee, please complete this form as an individual.

1. INVESTOR DETAILS

Complete all details below in respect of the individual(s) or sole trader(s) that will hold legal title to the units.

Investor 1

Title

Given name(s)

Surname

Are you known by any other name(s)

No Yes. Please state:

Business name (if sole trader)

ABN (if any, for sole trader)

Date of birth (DD/MM/YYYY)

Occupation

Industry Type

Employment Type (e.g. Full-time, Self-employed, Casual)

Residential address - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Principal Place of Business (if you are a sole trader) - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Postal address (if different to residential address)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Contact details

Home number (including country and area code)

Mobile number (including country code)

Email

Please tick this box if you do not consent to using email as your default correspondence preference for all correspondence in future.

Tax details - Australian residents: If you are an Australian resident for tax purposes, please provide your tax file number (TFN) or a reason for exemption. If you are an Australian resident and do not provide your TFN, or a reason for exemption, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN

Reason for exemption

Investor 2

Title

Given name(s)

Surname

Are you known by any other name(s)

No Yes. Please state:

Business name (if sole trader)

ABN (if any, for sole trader)

Date of birth (DD/MM/YYYY)

Occupation

Industry Type

Employment Type (e.g. Full-time, Self-employed, Casual)

Residential address (or, if you are a sole trader, principal place of business) - (A PO Box/RMB/Locked Bag is not acceptable)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Principal Place of Business (if you are a sole trader)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Postal address (if different to residential address)

Property/building name (if applicable)

Unit

Street number

Street name

Suburb

State

Postcode

Country

Contact details

Home number (including country and area code)

Mobile number (including country code)

Email

All correspondence will be sent to the email or postal address provided by **Investor 1**.

Tax details - Australian residents: If you are an Australian resident for tax purposes, please provide your tax file number (TFN) or a reason for exemption. If you are an Australian resident and do not provide your TFN, or a reason for exemption, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN

Reason for exemption

If there are more than two individuals, please complete a separate application form signed by each additional applicant and submit it together with this form.

If clarification is required please contact us.

2. VERIFICATION PROCEDURE

If you cannot meet the requirements of **option A**, please follow the instructions in **option B**.

OPTION A

Provide a certified copy* of one of the following:

- | | |
|--|--------------------------|
| Current Australian Driver's Licence containing a photo of the person (scan the front and back) | <input type="checkbox"/> |
| Current Australian Passport or an Australian Passport that has expired within the preceding 2 years | <input type="checkbox"/> |
| Current Australian State or Territory Government Identity Card showing the person's date of birth, photo and signature | <input type="checkbox"/> |
| Current Foreign Government Identity Card showing the person's date of birth, photo and signature** | <input type="checkbox"/> |
| Current Foreign Driver's Licence showing the person's date of birth, photo and signature** | <input type="checkbox"/> |
| Current Foreign Passport showing the person's date of birth, photo and signature** | <input type="checkbox"/> |

OPTION B

If you can't provide any document from option A, then please provide a certified copy* of one document from group 1 and one document from group 2.

GROUP 1

- | | |
|---|--------------------------|
| Birth Certificate or Birth Extract issued by an Australian State or Territory | <input type="checkbox"/> |
| Australian Government issued Citizenship Certificate | <input type="checkbox"/> |
| Current Concession or Health Care Card issued by Centrelink (scan the front and back) | <input type="checkbox"/> |

GROUP 2

- | | |
|---|--------------------------|
| Commonwealth, State or Territory Government Notice within the preceding 12 months and recording the provision of financial benefits | <input type="checkbox"/> |
| Australian Taxation Office Notice within the preceding 12 months and recording the debt payable to or by the individual by or to (respectively) the ATO | <input type="checkbox"/> |
| Local Government or Utilities Provider Statement within the preceding 3 months and recording the provision of services | <input type="checkbox"/> |

If the investor is below the age of 18, please contact us.

*Please see the FAQs at the end of this form for the meaning of certified copy.

**If the document is written in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

3. INVESTMENT & DISTRIBUTION INSTRUCTIONS

Specify your initial application amount.

NOTE: BT Classic Investment Funds are closed to new investors. Applications for the BT Classic Investment Funds are only available for investors who are also requesting a unit transfer.

New Zealand investors are unable to make applications into BT Investment Funds and BT Classic Investment Funds.

BT Investment Funds	APIR	Investment amount AUD\$	Distribution option (indicate [X] one option per fund)	
			Pay to my bank a/c	Reinvest
BT Australian Share Fund	BTA0021AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Property Securities Fund	BTA0051AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Future Goals Fund	BTA0044AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT International Fund	BTA0024AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Cash Management Trust	BTA0002AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Smaller Companies Fund	RFA0012AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Asian Share Fund	BTA0026AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Imputation Fund	RFA0019AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT European Share Fund	BTA0025AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT American Share Fund	BTA0023AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Technology Fund	BTA0127AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Wellington Global Opportunistic Value Fund	WFS0092AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

BT Classic Investment Funds	APIR	Investment amount AUD\$	Distribution option (indicate [X] one option per fund)	
			Pay to my bank a/c	Reinvest
BT Active Balanced - NEF	RFA0021AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Balanced Returns Fund	BTA0043AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Core Australian Share Fund	RFA0011AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Natural Resources Fund	RFA0013AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Split Growth Fund	BTA0012AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
BT Tax Effective Income Fund - NEF	RFA0023AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note: Please nominate one distribution option for each fund you are investing in. If no selection is made, distributions will be automatically re-invested.

Please indicate the source of funds.

This refers to where your funds came from in regard to deposits into the account. For example, if your superannuation contribution is made by your employer you would select salary/wages. Please note you may have multiple sources of funds. Please indicate all sources of funds below.

<input type="checkbox"/> Salary wages	<input type="checkbox"/> Tax refund	<input type="checkbox"/> Rental income
<input type="checkbox"/> Investment income/earnings	<input type="checkbox"/> Gift/donation	<input type="checkbox"/> Compensation payment
<input type="checkbox"/> Bonus	<input type="checkbox"/> Windfall	<input type="checkbox"/> Government benefits
<input type="checkbox"/> Sale of assets	<input type="checkbox"/> Business income/earnings	<input type="checkbox"/> Redundancy
<input type="checkbox"/> Business profits	<input type="checkbox"/> Superannuation/pension	<input type="checkbox"/> Inheritance
<input type="checkbox"/> Loan	<input type="checkbox"/> Commission	
<input type="checkbox"/> Insurance payment	<input type="checkbox"/> Liquidation of assets	

Additional Sources (Please specify)

Please indicate the source of wealth.

This refers to where or how you have built your net worth. For example, if your assets have been gained through savings from your salary you would select 'employment income'. Please note that you may have multiple sources of wealth. Please indicate all sources of wealth below.

<input type="checkbox"/> Employment income/earnings	<input type="checkbox"/> Gift/donation	<input type="checkbox"/> Business profits
<input type="checkbox"/> Investment income/earnings	<input type="checkbox"/> Inheritance	<input type="checkbox"/> Redundancy
<input type="checkbox"/> Sale of assets	<input type="checkbox"/> Windfall	<input type="checkbox"/> Compensation payment
<input type="checkbox"/> Owns real estate/property	<input type="checkbox"/> Business income/earnings	<input type="checkbox"/> Government benefits
<input type="checkbox"/> Rental Income	<input type="checkbox"/> Superannuation/pension	
<input type="checkbox"/> Insurance payment	<input type="checkbox"/> Liquidation of assets	

Additional Sources (Please specify)

4. PAYMENT OF APPLICATION AMOUNT

Select your payment method and complete the relevant section if applicable. All payments must be made in AUD.

EFT **Direct debit**

EFT Electronic Funds Transfer

Please ensure you are sending application funds to the correct bank account. Carefully read the list of funds you are investing into, and send application funding to the relevant bank details below:

For the funds listed below, please deposit into the BT Funds Management No. 2 Limited account:

BT Australian Share Fund	<p>Account name: BT Funds Management No. 2 Limited BSB: 082-001 Account Number: 718135633 Your Reference: [please use the name of the investor and investor number]</p>
BT Smaller Companies Fund	
BT Imputation Fund	
BT Active Balanced - NEF	
BT Core Australian Share Fund	
BT Natural Resources Fund	
BT Split Growth Fund	
BT Tax Effective Income Fund - NEF	

For the funds listed below, please deposit into the Westpac Financial Services Limited account:

BT Property Securities Fund	<p>Account name: Westpac Financial Services Limited BSB: 082-001 Account Number: 718318333 Your Reference: [please use the name of the investor and investor number]</p>
BT Future Goals Fund	
BT International Fund	
BT Cash Management Trust	
BT Asian Share Fund	
BT European Share Fund	
BT American Share Fund	
BT Technology Fund	
BT Wellington Global Opportunistic Value Fund	
BT Balanced Returns Fund	

Direct debit authority - Australian bank accounts only

You can allow us to deduct your application amount directly from your nominated financial institution account by completing the direct debit authority below. This debit will be made through the Bulk Electronic Clearing System (BECS) from your account held at the financial institution you have nominated below.

I/We authorise BT Funds Management No. 2 Limited ABN 22 000 727 659 (User ID 660311) and Westpac Financial Services Limited ABN 20 000 241 127 (User ID 662814) to, until further notice, arrange for funds to be debited from the account at the financial institution identified above through the Bulk Electronic Clearing System. I/We acknowledge that this direct debit arrangement is governed by the terms of the Direct Debit Request Service Agreement found in the PDS.

Financial institution name

Branch name

Account name

BSB number

Account number

Signature of primary account holder

Full name

Date (DD/MM/YYYY)

 / /

Signature of joint account holder (if applicable)

Full name

Date (DD/MM/YYYY)

 / /

5. FINANCIAL INSTITUTION ACCOUNT DETAILS

Australian bank account details

Please provide your bank account details if you have selected to take your distribution in cash or wish to provide these details for future redemptions. We will only pay cash proceeds to a bank account in the name(s) of the investor(s). We will not make any payments into third party bank accounts.

Financial institution name

Branch name

Name of account holder(s)

BSB number

Account number

Foreign bank account details

Financial institution name

Financial institution address

Account number

Account name

SWIFT/BIC

ABA/FED (US)

IBAN (Europe)

6. REGULAR INVESTMENT PLAN

I/We would like to establish a regular investment plan

Monthly investment amount AUD\$ (minimum \$100 per month).

Please complete the direct debit request in **section 4** (Payment of application amount) above.

Payments will be deducted on the 15th of each month or the proceeding business day if the 15th falls on a weekend or public holiday.

7. COMMUNICATION

Automatic online account access

Online access enables you to view details of your investments (account balance, investment details and account statements). We will send you the necessary registration details by post once your application is processed.

Privacy consent preference

We and members of the Westpac Group will use and disclose your personal information to contact you or send you information about other products and services offered by the Westpac Group or its preferred suppliers. If you do not wish to receive marketing communications from us please cross the box [] under Marketing material below.

Marketing Material

You may receive information from us via mail, telephone, email or other electronic messaging service relating to market commentary, services or information that may be of interest to you. By providing us with your contact details you consent to being contacted by these methods for these purposes. Please indicate if you do not wish to receive marketing information from us or any companies within our group.

8. FINANCIAL ADVISER DETAILS

Notice to financial adviser: by completing this section of the application form, you are confirming that you hold a current Australian Financial Services Licence (AFSL), or are otherwise authorised to advise on and arrange this product.

Details

AFSL holder name				AFSL number			
Adviser name							
Adviser email							
Adviser code or Authorised representative number				ABN			
Property/building name							
Unit	Street number	Street name					
Suburb	State	Postcode	Country				
Phone				Mobile			

Performance of investor identification & verification procedures

Please indicate below whether client identification and verification procedures have been performed.

- No - I have not performed the applicable customer identification procedure on this investor.
- Yes - I have completed the applicable customer identification procedure on this investor.

Financial adviser declaration

Notice to financial adviser: please note that reliance on the KYC performed by the financial adviser is only acceptable if all the criteria below is met.

- I hold an AFSL in my own name or have been appointed as an authorised representative by the licensee.
- I am a reporting entity for AML/CTF purposes.
- The issuer has reasonable grounds to believe that it is appropriate to rely on the KYC procedure I have undertaken.
- I have attached original certified copies of the ID documents to this form

AFSL full legal entity name		AFSL number	
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Please print full name

Signature

Date (DD/MM/YYYY)

/ /

9. AUTHORISED REPRESENTATIVE OF INVESTOR

Please complete this section if you wish to appoint an individual or individuals to act on your behalf in relation to your investment in the fund. Please contact us to obtain the relevant KYC form.

9.1 Authorised representative details

Authorised representative 1

Title	Given name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Are you known by any other name(s)

No Yes. Please state:

Authorised representative's phone number

Email

Authorised representative's signature

Date (DD/MM/YYYY)

 / /

Authorised representative 2

Title	Given name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Are you known by any other name(s)

No Yes. Please state:

Authorised representative's phone number

Email

Authorised representative's signature

Date (DD/MM/YYYY)

 / /

If you wish to appoint more than two authorised representatives, please complete the details on a separate sheet and attach to this application form.

9.2 How authorised representatives may act in relation to the account?

Tick applicable

- | | |
|--|--------------------------|
| Each authorised representative listed above may provide instructions in relation to the investment individually without the consent of the other | <input type="checkbox"/> |
| All authorised representatives must act jointly to provide instructions in relation to the investment | <input type="checkbox"/> |
| Other arrangement - please provide details <input type="text"/> | <input type="checkbox"/> |

9.3 Verification procedure for authorised representatives who are individuals

For each authorised representative, please provide verification documents in accordance with the verification procedure in **section 2**. In addition, please provide evidence of each authorised representative's authority to act on behalf of the investor. Please tick the document(s) you have provided.

Verification documents - mandatory

- | | |
|--|--------------------------|
| A certified copy of ID as per section 2 | <input type="checkbox"/> |
|--|--------------------------|

Authorised representative's authority - one of the following (not required for a Financial Adviser listed in **Section 8**)

- | | |
|---|--------------------------|
| Certified copy of the authorising document (e.g. POA); or | <input type="checkbox"/> |
| A certified copy of a guardianship order; or | <input type="checkbox"/> |
| Other arrangement - please provide details <input type="text"/> | <input type="checkbox"/> |

I confirm that the document authorising each authorised representative is still valid and has not been revoked.

10. GLOBAL TAX REPORTING REQUIREMENTS (FATCA/CRS)

Why you need to complete this section?

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) are regulatory requirements that aim to deter tax evasion by US and other foreign taxpayers. The Australian and a number of other foreign Governments have an agreement which requires us to obtain certain information from investors, including taxation information. You may be liable to a penalty if you provide information that is false or misleading that is material. We may decide not to open an account without first receiving the required information. For more information, visit www.ato.gov.au.

If you are unsure of any of the answers, please contact a legal or accounting professional.

10.1 Tax Residence - Individual/Sole Trader

HELP

Tell me about tax residence

You can be a resident of more than one country for tax purposes. Whether you are tax resident of a particular country for tax purposes is often based on the amount of time you spend in a country and the location of your residence and/or place of work. If you pay tax or have a tax liability somewhere, you are probably a tax resident there. Dual citizenship often brings dual tax residency. It depends on the country. For the US, tax residency can be as a result of citizenship or residency for tax purposes.

If you're unsure, ask someone who knows, usually your accountant.

10.1.1 Individual - Investor 1

Are you a US resident for tax purposes?

No

Yes - please tell us your TIN.

HELP

What is a TIN?

This is short for Taxpayer Identification Number, an identification number issued or used by tax authorities. In Australia, the equivalent is the tax file number (TFN). For the US, it could for example be a US Social Security Number, a US Individual Taxpayer Identification Number or a US Employer Identification Number. In other countries, it may have a different name.

Are you a resident of any other country for tax purposes?

Other than the US or Australia

No

Yes - please tell us which ones, using the following table.

	Country or jurisdiction of tax residency	TIN	TIN not issued mark "X" in the box below
1	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
2	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
3	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
4	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

10.1.2 Individual - Investor 2

Are you a US resident for tax purposes?

No Yes - please tell us your TIN.

HELP

What is a TIN?

This is short for Taxpayer Identification Number, an identification number issued or used by tax authorities. In Australia, the equivalent is the tax file number (TFN). For the US, it could for example be a US Social Security Number, a US Individual Taxpayer Identification Number or a US Employer Identification Number. In other countries, it may have a different name.

Are you a resident of any other country for tax purposes?

Other than the US or Australia

No
 Yes - please tell us which ones, using the following table.

	Country or jurisdiction of tax residency	TIN	TIN not issued mark "X" in the box below
1	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
2	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
3	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
4	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

11. DECLARATIONS & ACKNOWLEDGMENTS

Please read the declarations below before signing this form. The required signature(s) are detailed at the bottom of this form.

When you apply to invest, you (the applicant) are telling us:

- to the best of your knowledge, all details in this application (including all related documents provided) are true, correct and complete
- you have received, read and understood the current PDS. You agree to be bound by the constitution of the fund, the PDS as supplemented, replaced or re-issued from time to time
- you are not bankrupt or a minor, and are authorised to sign this form
- you have received and accepted this offer in Australia
- you have read and understood the information relating to privacy in the PDS
- I consent to the issuer disclosing my personal information to any issuer's service providers, in relation to any identification and verification that the issuer is required to undertake on me, as required under the AML/CTF Act. This shall include any information:
 - required by any third party document verification service provider, and/or
 - provided to any third party document verification service provider.

By applying to invest you also acknowledge that:

- monies deposited are not associated with crime, money laundering and/or financing terrorism. We may decide to delay or refuse any request or transaction, including by suspending the issue or redemption of units. If we are concerned that the request or transaction may breach any obligation of, or cause us to commit or participate in an offence under any AML/CTF and Sanctions Law and FATCA/CRS obligations, we will incur no liability to you if we do so
- we may take other action we reasonably believe is necessary to comply with AML/CTF and Sanctions Law and FATCA/CRS obligations, including disclosing any information held about you to any of our related bodies corporate or service providers whether in Australia or outside Australia, or to any relevant Australian or foreign regulator, and
- we collect additional information about you from time to time, from you or from third parties, for the purposes of satisfying AML/CTF and Sanctions Law and FATCA/CRS obligations, and that any such information may be used and disclosed as described in our privacy policy available online at www.bt.com.au or by contacting us.

For our customers located in the United Kingdom and European Union

The European Union General Data Protection Regulation (EU GDPR) regulates the processing of personal information under the European Union (EU) law. The Data Protection Act 2018 (UK) (DPA 2018) and the United Kingdom General Data Protection Regulation (UK GDPR) regulate the processing of personal information under UK law. In this section, UK and EU data protection laws mean the EU GDPR, the DPA 2018, and the UK GDPR.

If you are interacting with our BT UK branch, or we are offering products or services to you whilst you are located in the UK or EU, or we are monitoring your behaviour whilst you are located in the UK or EU, our collection, use, disclosure, and processing of your personal information is regulated by UK and EU data protection laws.

Our UK and EU Data Protection Policy explains how we manage your personal information in accordance with UK and EU data protection laws, and is available at bt.com.au/content/dam/public/btfg-bt/documents/about-bt/uk-and-eu-data-protection-policy.pdf.

For our customers located in New Zealand

If we offer products or services to you while you are in New Zealand, our collection, use, disclosure and other processing of your personal information is regulated by the Privacy Act 2020 (NZ). Please refer to the Westpac New Zealand Privacy Policy on our website at bt.com.au/personal/help/privacy/overseas-privacy-and-data-protection-policies for information about how we manage your personal information under the Privacy Act 2020 (NZ).

Important information

- nothing in this form is advice and 'help' is general guidance only. Seek professional advice to be sure of your answers
- it is a condition of investing that you keep your details (including tax detail) with us, up to date. We recommend that you review this tax information form at the end of the financial year and update your details if required. You must contact us when you learn new things about the matters in this form. Failing to update us can have tax and other consequences. You can update us by requesting and completing this form and posting to us.

By completing and signing this form:

- you represent having read and understood this form
- you represent this form is complete and accurate
- if you have applied for but not received your TIN or GIIN, you undertake to inform us within 30 days of receiving it
- you undertake that if information in this form changes, you will tell us within 30 days
- you declare that to the best of your knowledge and belief the information provided in the Global Tax Reporting section is true and correct
- you agree to notify the Responsible Entity of any changes to your tax residency or that of any beneficial owners or controlling person.
- acknowledge that you have read the PDS in full and agree to be bound by the terms of the PDS and the terms of the relevant Constitution(s), each as amended from time to time;
- acknowledge that none of Westpac Banking Corporation or any of its related entities stands behind or otherwise guarantees the capital value or the investment performance of any Fund offered in the PDS
- acknowledge that investments in the Funds are not deposits or other liabilities of Westpac Banking Corporation or any other company in the Westpac Group of companies and that investments in the Funds are subject to investment risks, including possible delays in repayment and loss of income and principal invested
- acknowledge that you have read and understood the Privacy Statement in the PDS and acknowledge that the full BT Privacy Policy is available at bt.com.au or by calling us
- acknowledge that the Corporations Act prohibits any person from passing on to another person the application form unless it is attached to or accompanied by the complete and unaltered PDS
- acknowledge that if you have received the PDS from the internet or other electronic means, you have received it personally, or a paper print out of it, accompanied by or attached to this application form.
- acknowledge that the appointment of an Authorised representative (if applicable) is governed by the terms set out in this PDS.

12. SIGNATURE(S)

All persons signing this form must provide identification as detailed in section 2.

Signature 1

Signature

Date (DD/MM/YY) / /

Full name

Capacity

Investor 1

Authorised Representative

Signature 3

Signature

Date (DD/MM/YY) / /

Full name

Capacity

Investor 3

Authorised Representative

Signature 2

Signature

Date (DD/MM/YY) / /

Full name

Capacity

Investor 2

Authorised Representative

Signature 4

Signature

Date (DD/MM/YY) / /

Full name

Capacity

Investor 4

Authorised Representative

Translating documents by an accredited translator In Australia an accredited translator means a professional translator accredited by the National Accreditation Authority for Translators and Interpreters at or above professional level.

- NAATI (<https://www.naati.com.au/>)

In an overseas country, an accredited translator is a professional translator accredited by a NAATI equivalent authority. For these, escalate to the AML Compliance Officer for assistance.

Getting your copies certified Any document(s) requiring to be certified for verification purposes must be certified by an eligible person to be a true copy of the original document. Documents must be either certified on all pages or certified on the front page with a clear reference to the number of subsequent pages that are included.

Example of certification

I certify that this is a true and correct copy of the original document

Signature of Certifier

Name of Certifier

Capacity of certifier - e.g. Justice of the Peace

Date of certification (DD/MM/YYYY)

- List of occupations that can certify (from the Statutory Declaration Regulations 2018)**
- Architect
 - Chiropractor
 - Dentist
 - Financial adviser or financial planner
 - Legal practitioner
 - Medical practitioner
 - Midwife
 - Migration authorised representative registered under Division 3 of Part 3 of the Migration Act 1958
 - Nurse
 - Occupational therapist
 - Optometrist
 - Patent attorney
 - Pharmacist
 - Physiotherapist
 - Psychologist
 - Trade marks attorney
 - Veterinary surgeon.

- List of persons who can certify**
- a person who is enrolled on the roll of the Supreme Court of a State or Territory or the High Court of Australia, as a legal practitioner (however described)
 - a judge of a court
 - a magistrate
 - a chief executive officer of a Commonwealth court
 - a registrar or deputy registrar of a court
 - a Justice of the Peace
 - a notary public (for the purposes of the Statutory Declaration Regulations 2018)
 - a police officer
 - an authorised representative of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
 - a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
 - an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
 - an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 2018)
 - a finance company officer with 2 or more continuous years of service with one or more financial companies (for the purposes of the Statutory Declaration Regulations 2018)
 - an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees
 - a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.