



BT Margin Lending Loan Refinance Form

USE THIS FORM TO TRANSFER YOUR EXISTING MARGIN LOAN TO BT MARGIN LENDING, FOR EITHER A FULL OR PARTIAL REFINANCE.

NOTE: THE BT MARGIN LOAN FACILITY AND REFINANCE AMOUNT IS SUBJECT TO CREDIT APPROVAL.

Complete this form using **black pen** – print in clear **CAPITAL LETTERS**

QUESTIONS?

If you are the applicant or the borrower:

- call BT Customer Relations Consultants on 1800 816 222, or
- email us at client.marginlending@btfinancialgroup.com

If you are the nominated financial adviser:

- call BT Adviser Relations on 1800 671 409
- email us at adviser.marginlending@btfinancialgroup.com

Phone lines are available Mon – Fri from 8.00am – 6.30pm (Sydney time).

Do you have an existing BT Margin Loan?

Cross [X] appropriate box:

- Yes Complete section 1
- No Complete section 2. You will also need to complete the BT Margin Lending Loan Application form.

1. BORROWER'S DETAILS

Borrower's name(s)

Borrower's client code

2. DETAILS OF LOAN TO BE REFINANCED

Name of existing margin lender

Full name of existing loan to be refinanced

Account number of existing margin loan

BT USE ONLY

3. FULL OR PARTIAL REFINANCE

Full refinance

You wish to transfer your entire margin lending portfolio to BT Margin Lending

- I/We authorise our existing margin lender (named above) to provide BT Margin Lending with any and all information regarding my/our margin lending account.
- I/We authorise our existing margin lender (named above) to transfer my/our CHESS HIN and managed fund holdings to BT Securities Limited.
- I/We authorise BT Securities Limited to pay out any outstanding loan(s) against these securities, if any.

OR

Partial refinance

You wish to transfer a portion of your margin lending portfolio to BT Margin Lending.

Indicate loan value

\$

- I/We authorise our existing margin lender (named above) to transfer the stocks and holdings listed below to BT Securities Limited.
- I/We authorise BT Securities Limited to pay out any outstanding loan against these securities, if any.

1 PARTIAL REFINANCE

Name of security

Quantity (Number of shares or units)

Name security is registered in

2 PARTIAL REFINANCE

Name of security

Quantity (Number of shares or units)

Name security is registered in

3 PARTIAL REFINANCE

Name of security

Quantity (Number of shares or units)

Name security is registered in

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4 PARTIAL REFINANCE

Name of security

Quantity (Number of shares or units)

Name security is registered in

5 PARTIAL REFINANCE

Name of security

Quantity (Number of shares or units)

Name security is registered in

6 PARTIAL REFINANCE

Name of security

Quantity (Number of shares or units)

Name security is registered in

7 PARTIAL REFINANCE

Name of security

Quantity (Number of shares or units)

Name security is registered in

8 PARTIAL REFINANCE

Name of security

Quantity (Number of shares or units)

Name security is registered in

4. DECLARATION AND SIGNATURES

Where any of the securities to be refinanced are owned by a third party (i.e. not the borrower), the third party security provider is also to sign this section.

For Company or Company Trustee, Borrowers and/or Third Party Security providers, either two Directors, or one Director and the Secretary, or the Sole Director and Secretary must sign. Indicate your company capacity by marking the appropriate box below your signature.

Signature of **Borrower**

Signatory's full name (please print)

Director Sole Director and Secretary

Signature of **Additional Borrower**

Signatory's full name (please print)

Director Company Secretary

Signature of **Third Party Security Provider**

Signatory's full name (please print)

Director Sole Director and Secretary

Signature of **Additional Third Party Security Provider**

Signatory's full name (please print)

Director Company Secretary

Date (dd/mm/yy)

You can submit this form by:

- mail BT Margin Lending
GPO Box 3917, Sydney NSW 2001
- fax **This form cannot be faxed**

The original Loan Refinance form must be sent to BT Margin Lending.