

Use this form to nominate additional people to operate your BT Margin Loan on your behalf. This can be your financial adviser, or another individual. An authorised representative can do anything you are able to do under your margin loan (including but not limited to increasing the loan, buying and selling investments, attending to margin calls and changing your contact details) until you provide us with a written request to terminate their appointment.

You must complete this form if you wish to nominate a margin call contact other than the borrower(s).

Please complete this form using a **BLACK PEN**, print in **CAPITAL LETTERS** and mark answer boxes with a **[X]**

### QUESTIONS?

#### If you are a direct investor:

- call BT Customer Relations Consultants on 1800 816 222, or
- email us at [client.marginlending@btfinancialgroup.com](mailto:client.marginlending@btfinancialgroup.com).

#### If you are a financial adviser:

- Please call BT Adviser Relations on 1800 671 409, or
  - Email us at [adviser.marginlending@btfinancialgroup.com](mailto:adviser.marginlending@btfinancialgroup.com)
- Phone lines are available Mon–Fri from 8.00am to 6.30pm (AEST).

## 1. BORROWER'S DETAILS

Borrower's Client Code

Borrower's Full Name

## 2. AUTHORISED REPRESENTATIVE DETAILS

Title

Mr  Mrs  Miss  Ms  Other

Given name(s)

Surname

Date of birth (dd/mm/yyyy)

 /  / 

Drivers Licence number

Email address\*

*In the future, BT may elect to email correspondence to you.*

Home phone number

Mobile phone number

Work phone number

Fax number

Residential address

  
  
 State  Postcode

Postal address (if different from above)

  
  
 State  Postcode

Relationship to the borrower

Are you an existing Westpac customer?

Yes  No

If **Yes**: Select and complete one of the following forms of identification.

*Note: The name of the account identification must be the same name as you have provided above.*

Westpac account details

BSB number

Account number

Account holder's name(s)

  


**OR**

Westpac customer number

If **No**: In order to meet our obligations under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF), we must identify customers before we provide a service. If you are not an existing Westpac customer, you must provide original certified copies of identification documents in accordance with the AML/CTF Act 2006. Section 4 overleaf, details the identification process.

### 3. SIGNING SECTION

By signing this form I/we agree that:

- BT Margin Lending is authorised to provide any information in relation to my/our BT Margin Loan to the authorised representative/s and can assume that each authorised representative has the authority to operate the loan independently;
- BT Margin Lending may verify instructions received from the authorised representative/s but is not obliged to do so; and
- I/we will ratify any action taken by the authorised representative in accordance with this authority.

Signature of **Authorised Representative**

Date (dd/mm/yy)

 /  / 

For company or company trustee borrowers, either two Directors, or one Director and the Secretary or the Sole Director and Secretary must sign. Please indicate your company capacity by marking the appropriate box below your signature.

Signature of **Borrower/Company Officer**

Date (dd/mm/yy)

 /  / 

Signatory's full name *(please print)*

Company officer: Please indicate company capacity.

Sole Director  Secretary  Company Director

Signature of **Additional Borrower/Company Officer**

Date (dd/mm/yy)

 /  / 

Signatory's full name *(please print)*

Company officer: Please indicate company capacity.

Sole Director  Secretary  Company Director

**PROCEED TO THIS SECTION ONLY IF YOU ARE NOT AN EXISTING WESTPAC CUSTOMER.**

### 4. AML/CTF VERIFICATION PROCEDURE

You must provide original certified copies of identification copies listed in Section 4A below, that show your full name, your date of birth and residential address. These documents must be certified by an eligible certifier as listed in Section 4B below. Your eligible certifier must complete the Certified Copy Certificate attached to this form, and also attach the original certified copies of identification.

#### 4A. IDENTIFICATION DOCUMENTS

- At least TWO identification documents are required of which one must come from Table A.
- At least ONE identification document must specify your full name and current residential address.
- At least ONE identification document must specify your full name date of birth.
- All documents must be current unless specified otherwise.

If you are unable to provide these identity documents, contact our BT Customer Relations Consultants on 1800 816 222 for further options.

#### Table A. Primary Identification Documents

- Australian or foreign birth certificate
- NSW birth card\*
- Australian Citizenship certificate
- Citizenship certificate issued by a foreign government
- Australian State/Territory driver's licence/permit\*
- Australian passport\* (a passport that has expired within the preceding two years is acceptable – must not be cancelled, defaced or mutilated)
- Foreign passport\* or foreign travel document\* issued by a foreign government, the United Nations or an agency of the United Nations
- Centrelink pension card (Australian)
- Department of Veteran Affairs pension card (Australian)
- National Identity Card issued by a foreign government, the United Nations or an agency of the United Nations.
- NSW RTA photo card or Proof of Age card
- Proof of age cards\* (eg KEYPASS photo ID card, proof of Age Card or 18+ card).

### Table B. Secondary Identification Documents

- Medicare card
- Marriage certificate (Australian)
- Health Care Card (Centrelink/Department of Veteran Affairs)
- Commonwealth Seniors health card/State seniors card
- Foreign driver's licence\*
- Passbook issued by an Australian financial institution which contains a black light signature
- Notice issued by the Commonwealth or a State/Territory within the preceding 12 months that records the provision of financial benefits and contains the individual's name and residential address (eg a notice from Centrelink)
- Notice issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (this document must contain the individual's name and residential address)
- Notice issued by the Australian Taxation Office within the preceding 12 months which contains the individual's name and residential address
- Identification card\* issued to a Public Service employee (Australian)
- Security guards' licence\*
- Shooters/Firearms licence\*

\* Must contain photograph and signature.

*Documents cannot be accepted if they are cancelled, defaced or mutilated.*

*Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator*

### 4B. HOW TO CERTIFY YOUR IDENTITY DOCUMENTS

An original certified copy is a document that has been certified as a true copy of an original document.

To certify an identity document:

- take the original identity document and a photocopy to one of the people listed below (eligible certifiers) and ask them to certify that the photocopy is a true and correct copy of the original document
- that person will need to print their name, date and qualification/occupation which makes them an eligible certifier on the photocopy
- the certifier must also include the following statement on the photocopy 'I certify that this is a true copy of the original document'
- the certifier must complete the Certified Copy Certificate on the following page.
- photocopies of certified documents will not be accepted. The original signature of the certifier must be visible.

### List of eligible persons who can certify your identity documents

1. A person enrolled on the roll of the Supreme Court or a State or Territory, or the High Court of Australia, as a legal practitioner (however described).
2. A Judge of a Court.
3. A Magistrate.
4. A Chief Executive Officer of a Commonwealth Court.
5. A Registrar or Deputy Registrar of a Court.
6. A Justice of the Peace.
7. A Notary Public (for the purposes of the Statutory Declaration Regulations 1993)
8. A Police Officer.
9. An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public.
10. A permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public.
11. An Australian Consular Officer or an Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1985).
12. An officer with two or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993).
13. A finance company officer with two or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993).
14. An officer with, or authorised representative of, a holder of an Australian Financial Services licence, having 2 or more continuous years of service with one or more licensees.
15. A member of the Institute of Chartered Accountants in Australia, Certified Practising Accountants Australia or the National Institute of Accountants with 2 or more years of continuous membership.
16. An International Bank employee authorised by their institution to open accounts, where the International Bank has a current correspondent banking relationship with Westpac and is acceptable to the Bank.