Margin Lending Managed Fund Transactions and Regular Gearing Form

Use this form to complete a managed fund transaction and/or amend or cancel a regular gearing arrangement.

Questions?
If you have any questions regarding completing this form, please call us on 1800 816 222.
Phone lines are available Mon – Fri from 8.30am – 5.30pm (Sydney time).

1. BORROWER'S DETAILS

Borrower's Client Code

Borrower's name(s)

2. WHAT WOULD YOU LIKE TO DO?

Place [✘]in the relevant box below:

☐ Make an initial or additional Managed Fund application	❯ Go to Section 3
☐ Change your distribution option(s)	❯ Go to Section 4
☐ Amend or cancel an existing Regular Gearing arrangement	❯ Go to Section 5
☐ Redeem Managed Fund(s)	❯ Go to Section 6
☐ Switch Managed Funds	❯ If your intention is to switch between Managed Funds, complete the application section 3 and redemption section 6, and supply supporting documentation. Application will be processed once all funds have been received.

3. MANAGED FUND(S) APPLICATION (INITIAL AND ADDITIONAL)

Applications must be made in the name of BT (Queensland) Pty Limited.

Please attach a completed Managed Fund application form for the relevant fund(s), and if appropriate, have the fees sections completed by your financial planner.

If you are using funds from managed fund redemptions to fund the below application please complete Section 6 of this form. Applications will not be placed until BT Margin Lending has received the redemption proceeds.

APIR Code	Managed Fund(s) name	Investor no. (List for additional investments)	Investment		Distribution Option*		
			Your equity	Loan advance	Cash	Reinvest	Loan
			$	$	☐	☐	☐
			$	$	☐	☐	☐
			$	$	☐	☐	☐
			$	$	☐	☐	☐
		Total	$ 0.00	$ 0.00			

Method of Payment for your equity contribution

Please choose how you would like to pay for your contribution:

☐ Direct Debit my existing nominated account (if applicable).
☐ Direct Debit a new bank account – please also complete the Direct Debit/Direct Credit Request available from bt.com.au/marginloan
☐ Proceeds from the Managed Fund redemption(s) outlined in Section 6.

4. CHANGE DISTRIBUTION OPTIONS

APIR Code	Managed Fund(s) name	Investor no.	Distribution Option* If you choose Cash as your distribution option complete the bank details below		
			Cash	Reinvest	Loan
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐

ⓘ Note: In order to enforce the above changes for next distribution, your change of option must reach each Fund Manager by their last date of acceptance. These dates vary between fund managers.

** Note that these distribution options may not be offered by all Fund Managers. We recommend that you check with your Fund Manager that your preferred distribution option is available. Cash implies that distributions will be credited to your bank account nominated on the Managed Fund Application Form.*

At least one of the account signatories must be borrower or third party to the BT Margin Loan.

Name of financial institution

Branch name

BSB number

Account number

Bank account holder name(s)

5. REGULAR GEARING (AMEND OR CANCEL)

☐ Amend my existing Regular Gearing arrangement as outlined below:

Please note:

- For regular gearing, the minimum monthly investment into each fund is $250. You need to check that this complies with the fund manager's minimum investment criteria as well.
- If you have a monthly equity contribution as part of your Regular Gearing arrangement and do not have sufficient funds in your nominated bank account at the time the direct debit is due, we may draw the equity contribution amount from your loan as well, where there are sufficient funds available within your credit and borrowing limit.
- We may terminate the regular gearing arrangement at any time if we consider that a loan contribution may result in the amount outstanding under your facility exceeding either your borrowing limit or your credit limit.

APIR Code	Managed Fund(s) name	Investor no.	Regular Investment	
			Your equity	Loan advance
			$	$
			$	$
			$	$
			$	$
		Total	$ 0.00	$ 0.00

or

☐ Cancel my existing Regular Gearing arrangement

6. REDEMPTION OF MANAGED FUNDS

APIR Code	Managed Fund(s) name	Investor no.	Redemption amount		Cancel Regular Gearing	
			Dollars	Units	Yes	No
			$		☐	☐
			$		☐	☐
			$		☐	☐
			$		☐	☐
		Total	$ 0.00	0.00		

Instruction for redemption proceeds

Please select one of the following options:

☐ Reduce my/our margin loan balance.

☐ Switch into the Managed Fund(s) outlined in Section 3.

☐ Pay into my existing nominated account (if applicable).

☐ Pay into my/our bank account nominated below (subject to available funds). **(At least one of the account signatories must be a borrower or third party to the BT Margin Loan)**

Name of financial institution

Branch name

BSB number

Account number

Bank account holder name(s)

7. SIGNING SECTION

☐ I/We confirm that the above details are true and correct.

For company borrowers or third party security providers, either two directors, or one director and the secretary OR the sole director and the secretary must sign. Indicate your company capacity by marking the appropriate box below your signature.

Signature of **Borrower**

✗

Date (dd/mm/yy)

Signatory's full name *(please print)*

☐ Director ☐ Sole Director and Secretary

Signature of **Additional Borrower**

✗

Date (dd/mm/yy)

Signatory's full name *(please print)*

☐ Director ☐ Company Secretary

Signature of **Security Holder (in case of third party ownership)**

✗

Date (dd/mm/yy)

Signatory's full name *(please print)*

☐ Director ☐ Sole Director and Secretary

Signature of **Additional Security Holder (in case of third party ownership)**

✗

Date (dd/mm/yy)

Signatory's full name *(please print)*

☐ Director ☐ Company Secretary

Once you have printed and signed this form, you can submit it by:

@ MLTransact@BTFinancialgroup.com

✉ BT Margin Lending
GPO Box 3917, Sydney NSW 2001