



BT Funds Management No. 2 Limited ABN 22 000 727 659, AFSL 233720 and Westpac Financial Services Limited ABN 20 000 241 127, AFSL 233716 are the Responsible Entities (RE) of BT Investment Funds. Westpac Financial Services Limited ABN 20 000 241 127, AFSL 233716 is the Responsible Entity (RE) of BT Investor Choice Funds. Westpac Financial Services Limited ABN 20 000 241 127, AFSL 233716 and BT Funds Management No. 2 Limited ABN 22 000 727 659, AFSL 233720 are the Responsible Entities (RE) of BT Classic Investment Funds.

For the purposes of this form, a product referred to above is called a 'BT account'.

This form sets out the advice fee arrangements between the Responsible Entities of your BT account (refer to Responsible Entity details above), you (being the investor named in section 1) and your financial adviser named in section 5 (financial adviser).

Consent to the deduction of a one-off advice fee:

Your financial adviser or your financial adviser's licensee is requesting your consent to arrange for the deduction of a one-off advice fee from your BT account for their advice and related services, as set out below. If you give your consent to the financial adviser, you will also give your consent to BT Funds Management No.2 Limited and/or Westpac Financial Services Limited deducting the one-off advice fee from your BT Account by redeeming units in your investment in your BT account to pay the agreed one-off advice fee to your financial adviser or your financial adviser's licensee (as applicable).

This one-off advice fee arrangement request once properly completed and signed constitutes an agreement between the relevant RE, you and your financial adviser. The financial adviser enters into this agreement on their own behalf and, where the financial adviser is a representative of a licensee, as an agent of the licensee.

Privacy declaration:

The information on this form is collected by your financial adviser (or your financial adviser's licensee) and is then disclosed to and collected by BT Funds Management No. 2 Limited ABN 22 000 727 659, AFSL 233720 and/or Westpac Financial Services Limited ABN 20 000 241 127, AFSL 233716 in accordance with the BT privacy policy, which is available online at bt.com.au. You should read this policy before completing this form. This policy is reviewed and may be amended from time to time.

Questions? Call your financial adviser on the number included in section 5 of this form or contact our Customer Relations team on 132 135, Monday to Friday, between 8:30 am and 5:30 pm Sydney time or bt.com.au/contact-us.

When and how to use this form

Use this form if you have agreed with your financial adviser to:

- set-up a new one-off advice fee arrangement to have an advice fee deducted from your BT account or

You must:

- **complete** sections 1, 2A and 2B.

You and your financial adviser must:

- **read** the terms and conditions in section 3; and
- **sign** and **date** the declaration in sections 4 and 5 respectively.

Information about the advice fee arrangement

An advice fee can be deducted as:

- a one-off fee payable to your financial adviser.

Complete this form using **black pen** – print in clear **CAPITAL LETTERS**

1. INVESTOR DETAILS

Investor number

C

Title

Mr Mrs Miss Ms Other

Surname

Given name(s)

Date of birth (dd/mm/yyyy)

/ /

Daytime phone number

()

Mobile phone number

Email address*

**In the future, BT may elect to email correspondence to you.*

2. ADVICE FEE DETAILS

An advice fee will be charged by your financial adviser as a one-off fee. The maximum total amount of advice fees that can be deducted in a 12 month period from your BT account is the lesser of \$5,000 or 2.5% of your BT account balance.*

**The 12 month period is based on the 12 months up to and including the month for which the advice fee payment is to be deducted.*

You must complete:

- section 2A and 2B.

Nature of advice/Service:

Services you will be entitled to receive under the fee arrangement for the one-off advice fee arrangement (sufficient details in relation to the proposed advice and related services must be provided by the financial adviser to enable the relevant RE to assess the reasonableness of the fee amount for those services. If we are not provided sufficient details we may not be able to process the fee payment within the form.):

Service being provided

2A WHICH BT ACCOUNT IS THE ADVICE FEE FOR?

If an advice fee is required to be deducted from more than one of the BT accounts listed below, a separate One-off Advice Fee form must be completed for each BT account.

Please tick which BT account the advice fee is to be deducted from **(tick one only)**:

- BT Investment Funds
 BT Investor Choice Funds
 BT Classic Investment Funds

2B ONE-OFF ADVICE FEE

Please pay a one-off advice fee of:

\$, .

This amount is inclusive of GST and will be deducted once only from your BT account. It will be deducted proportionally across all of your investment options.

3. READ THESE TERMS & CONDITIONS

- a. The Responsible Entities (refer to Responsible Entity details at the beginning of this form) of your BT account (specified in section 2A) and your financial adviser (named in section 5) agree that if you request your financial adviser to provide you with advice for the advice fee (set out in sections 2B (as applicable)):
- the one-off advice fee: your financial adviser will provide that advice in return for the payment by the Responsible Entities of the advice fee;
 - the Responsible Entities will pay the advice fee to your financial adviser and deduct the amount of the one-off advice fee (as set out in section 2B above) from your BT account by redeeming units in your investment in your BT account.
- b. The advice and services related to the advice fee:
- will be agreed between you and your financial adviser; and
 - must relate solely to your interest in your BT account.
- c. The one-off advice fee (set out in section 2B) will be charged once only and will be deducted proportionally from all your investment option(s)* as at the date that your advice fee request is received.
- d. Your consent to the deduction of the one-off advice fee will last until the fee is deducted from your BT account, provided that the relevant arrangement with your financial adviser or your financial adviser's licensee has not terminated and you have not withdrawn this consent before that date. Deduction will occur after this consent has been received and checked by the relevant RE.
- e. You can withdraw your consent by contacting the relevant RE at any time before the amount has been deducted from the account.
- f. The one-off advice fee arrangement will not be considered a valid request and a one-off advice fee will not be deducted from your BT account (or deemed not to have been made) if to do so:
- results in the one-off advice fees for the relevant 12 month period explained in section 2 above exceeding the lesser of \$5,000 or 2.5% of the account balance; or
 - would otherwise cause the RE to breach any legal requirement.

4. INVESTOR DIRECTION AND SIGNATURE

By signing this form, I/we:

- confirm that the proposed one-off advice fee and the details provided in this form are consistent with the terms of the arrangement between me/us and the financial adviser and/or the financial adviser's licensee and there is no other agreement or arrangement with the financial adviser and/or the financial adviser's licensee that may be inconsistent with the details provided in this consent. To the extent of any inconsistency between the terms of the existing arrangement between me/us and the financial adviser and/or the financial adviser's licensee and the details in this form, the latter prevails;
- consent to the financial adviser or the financial adviser's licensee arranging the fee set out in this form, and to the relevant RE deducting the one-off advice fee set out in section 2B of this form from my BT account by redeeming units in my investment in my BT account and paying the one-off advice fee to the financial adviser or the financial adviser's licensee for the advice and related services listed in section 2 above, in the manner provided in this form;
- will notify the relevant RE if I/we do not receive any of the services listed in section 2 above, or an inconsistency arises between the details provided in this form and the terms of the arrangement between me/us and the financial adviser and/or the financial adviser's licensee; and
- the RE reserves the right to cease deducting or facilitating one-off advice fees at any time.

Signature of **Investor**

Date (dd/mm/yy)

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5. FINANCIAL ADVISER USE ONLY

BT Adviser number

A

Title

Mr Mrs Miss Ms Other

Given name(s)

Surname

Phone number

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Fax number

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Mobile phone number

Office postcode

Email address

InvestmentLink ILCN (client no.)

ILGN group number

Financial adviser stamp (please use black ink only)

5A FINANCIAL ADVISER ACKNOWLEDGMENT AND SIGNATURE

Adviser/Licensee declaration:

By arranging for the deduction of the fees shown in this form, the financial adviser or the financial adviser's licensee requesting this consent confirms and agrees that:

- the proposed one-off advice fee and the details provided in this form are consistent with the terms of the arrangement between the financial adviser and/or the financial adviser's licensee and the investor and there is no other agreement or arrangement with the investor that may be inconsistent with the details provided in this consent form. To the extent of any inconsistency between the terms of the existing arrangement between the financial adviser and/or the financial adviser's licensee and the investor and the details in this form, this form prevails;
- the financial adviser or the financial adviser's licensee will immediately inform the relevant RE of any changes to the arrangement with the investor – e.g. variation or withdrawal of this consent or other terms of the arrangement – that may be inconsistent with the details provided in this consent form;
- the services listed in section 2 above have been or will be provided in accordance with the terms and conditions of the arrangement with the investor and this consent;
- the proposed one-off advice described in section 2B is a reasonable amount for the proposed services listed in section 2 above;
- the financial adviser and/or the financial adviser's licensee will provide any information required by the relevant RE for the purposes of the RE ensuring that it complies with its legal or regulatory requirements (including guidance provided by regulators or industry groups) and understands its additional obligations under the BT adviser terms and conditions;
- the RE reserves the right to cease deducting or facilitating the one-off advice fee at any time; and
- once the investor has signed the form, the financial adviser and/or the financial adviser's licensee authorises for the signed form to be provided to the relevant RE.

Signature of **Financial Adviser**

Date (dd/mm/yy)

You can submit this form by:

- ✉ mail BT Financial Group
GPO Box 2675,
Sydney NSW 2001