

Use this form to transfer many investors between advisers. This transfer can occur between Dealer Groups or within a Dealer Group.

Complete this form using **black pen** – print in clear **CAPITAL LETTERS**

1. TRANSFER INVESTORS FROM

Please indicate the adviser number that you want the investors transferred from:

BT Adviser number

A

Title

Mr Mrs Miss Ms Other

Given name(s)

Surname

2. TRANSFER INVESTORS TO

Please indicate the adviser number that you want the investors transferred to:

BT Adviser number

A

Title

Mr Mrs Miss Ms Other

Given name(s)

Surname

Dealer group

AFSL Number/ASIC Authorised Representative Number

3. REQUIRED DOCUMENTS

To complete the investor transfer, BT needs you to submit the following with this form:

- A spreadsheet with the full names of all the investors that are being transferred and their corresponding investor numbers, with the name and adviser number of the adviser that they are transferring to.

If the transfer is occurring between Dealer Groups, BT requires:

- A letter from the old Dealer Group authorising the release of the investors. A template for this letter has been attached for your convenience. This letter must be provided on Dealer Group company letterhead.
- A letter from the new Dealer Group accepting the transfer of the investors. A template for this letter has been supplied. This letter must be provided on Dealer Group company letterhead.

4. SIGNATURE

Signature of Adviser

Date (dd/mm/yy)

 / /

Signatory's name (*please print*)

You can submit this form by:

- mail BT
GPO Box 2675
Sydney NSW 2001



01-04-006-02

[Company Letterhead]

Dealer Group Release of Investors

BT Adviser Processing:

[insert Dealer Group name] authorises the release of the investors listed in the attached spreadsheet to [insert adviser name].

Signed by
[Print name]

Authorised Representative
[insert date]

[Company Letterhead]

Dealer Group Acceptance of Investors

BT Adviser Processing:

[insert Dealer Group name] accepts the transfer of the investors listed in the attached spreadsheet. These investors should be allocated to [insert adviser name].

Signed by
[Print name]

Authorised Representative
[insert date]